

Authorised  
Financial Services  
Provider  
FSB Licence No. 830  
Category 2  
Discretionary

## Creative Wealth Management

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Twoline Trading 81 (Pty) Ltd. trading as SIRIUS Financial Navigation  
Reg. 1999/027483/07 Directors: CR Morris (Chief Executive) \* C Redding (nee Wright) (Managing) \* L Premjith



# INVESTMENT MENU APPLICATION FORM

Confirm how many pages are included in this fax.

## 1. Product selection

Please tick required product and complete Rand amount to be invested.

Sections 2 and 3 to be completed for all products.

- Single Premium Endowment Plan - complete sections 5, 9, 10, 11, 12 and 13.
- Single Premium Preservation Fund - complete sections 5, 8, 9, 10, 11, 12 and 13.
- Single Premium Retirement Annuity Fund - complete sections 5, 8, 9, 10, 11, 12 and 13.
- Equity Linked Living Annuity - complete sections 4, 5, 7, 8, 9, 10, 11, 12 and 13.
- Recurring Premium Endowment Plan - complete sections 4, 5, 6, 9, 10, 11, 12 and 13.
- Recurring Premium Retirement Annuity Fund - complete sections 4, 5, 6, 8, 9, 10, 11, 12 and 13.

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- Please ensure that all funds are deposited into the following bank account:  
**PSGFW Retail, Acc: 000219150, Branch: 000205, Johannesburg, Bank: Standard Bank.**
- Please include proof of deposit with the application.  
PSG FutureWealth Limited is an Authorised Financial Services Provider and underwriter of the above products.  
PSG FutureWealth Limited will not entertain any request for interest adjustments due to unallocated funds.

## 2. Investor details (compulsory section)

Title  Initials  Surname

First name(s)

(or full registered name of Company or Trust)

Identity number  Date of birth  /  /

Income tax number  Marital status:  Single  Married  Divorced  Widowed

Tax status:  Individual  Corporate  Retirement  Trust  Non-taxable organisation

Gender:  Male  Female

Physical address

Postal address  Postal code

Telephone numbers:  
Home (  )  -  Work (  )  -   
Cellular phone (  )  -  Fax number (  )  -

E-mail address

Where the Trust is the owner, please advise if all beneficiaries in terms of the trust deed are natural persons.  Yes  No

Please refer to the Documentation Checklist and attach the relevant documentation to this application form.

Please attach proof of tax status where standard tax rates do not apply.

Investor Initial

### 3. Beneficiary nominations (compulsory section)

- For Preservation Funds and Retirement Annuity Funds, please complete section 3.1 and/or 3.2.

It is the responsibility of the trustees to take into account the dependants and the nominees selected as per the Pension Funds Act, prior to deciding the share of benefits on the death of the investor. Your nomination will guide the trustees' decision. Children of any age qualify as dependants, while nominees are those persons who wish to receive a portion of the benefit but are not dependant.

Dependants can be listed as beneficiaries.

- For Endowment Policies and Living Annuities, please only complete section 3.1.

#### 3.1 Nominated Beneficiaries

Title	Initials	Surname	Relationship	Identity number or date of birth	Share of benefits
Total					<b>100%</b>

#### 3.2 Dependants

Title	Initials	Surname	Relationship	Identity number or date of birth

- Beneficiaries are only entitled to the benefit upon death of the investor. The beneficiary has the option to continue with an endowment investment plan to date of maturity or for a period thereafter as agreed with PSG FutureWealth Limited.
- If you have nominated someone other than your spouse as beneficiary and you were married in community of property before 1984, the consent of your spouse is required below.

I hereby agree to the nomination.

Signature of spouse (if applicable) \_\_\_\_\_

Print name

Identity number

### 4. Bank account details of investor

Name of account holder

Bank

Branch name

Account type  Transmission  Savings  Current  Other

Branch no  Account no

- Please attach an original cancelled cheque or certified copy of recent bank statement for verification purposes.
- Payments and debit order deductions can only be made into or from the above bank account types and not into a credit card, bond or money market account.

Investor Initial

## 5. Portfolio selection

Portfolio	Retirement Fund Compliant	** Asset Management Fee incl. VAT
Sirius Balanced Growth Portfolio		0.80%
Sirius Income Portfolio		0.80%
Sirius Global Portfolio		0.80%
Future Cash		0.40%
<b>OTHER</b>		

- PSG FutureWealth Limited is the underwriter of the respective products and in no way manages the portfolio or offers any investment advice in respect thereof. PSG FutureWealth Limited does not, in any way, offer a guaranteed return, your investment value is determined by your portfolio choice and fluctuates according to the returns achieved by the respective portfolios. The portfolios are managed by the appointed asset managers of the respective portfolios / funds.

- Please also be aware that as a result of cash dilution that may be experienced in any portfolio, the timing of inflows and outflows from the underlying fund and the reinvestment of any distributions, in the short term your investment performance may vary from the performance of the particular unit trust.

\* Return: Not available for Retirement Funds.

\*\* The Ongoing Asset Management Fee is calculated on the value of your investment portfolio, deductible monthly.

Indicate full and correct names of the portfolios that you have selected:

Fund name	Proportion of income to be drawn from portfolio (for an ELLA only)	Percentage
<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	<input type="text"/> <input type="text"/> <input type="text"/> %
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<input type="text"/>	<input type="text"/> <input type="text"/> <input type="text"/> %	<input type="text"/> <input type="text"/> <input type="text"/> %
		<b><u>100%</u></b>

Ensure that the investment allocation adds up to 100%

Investor Initial

## 6. Recurring premium plans - Debit order details

Amount R    .

Commencement date / /

Annual premium update facility:  5%  10%  
 15%  20%

Frequency of premium payment  Monthly  Quarterly  Half-yearly  Yearly

- Debit orders will operate on the first day of every month.

I, the undersigned premium payer, request and authorise PSG FutureWealth Limited to draw due and payable premiums against my account. All such withdrawals from my account shall be treated as if personally signed by me. I understand that the details of each withdrawal will be reflected on my bank statement. I agree to pay charges and stamp duties relating to this debit order that may be levied by the bank. I may cancel this authority by giving PSG FutureWealth Limited 30 days' written notice sent by prepaid registered post.

Signed at (place) \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_

Signature of parent or guardian (if applicable) \_\_\_\_\_

Official capacity \_\_\_\_\_

Signature of premium payer \_\_\_\_\_

If the account owner is a company, we require proof of authority of the signatory on a company letterhead.

## 7. Equity Linked Living Annuity - Annuity and benefit payment details

Annual annuity amount R    .

Annuity % or instalment parameter  2,5%  10%  15%  17,5%  Other:  %

Frequency of annuity payment  Monthly  Quarterly  Half-yearly  Yearly

Advance or arrears payments  Arrears  Advance

- The annuity is limited to a minimum of 2,5% and a maximum of 17,5% of the net investment value, which can vary from time to time as determined by SARS.
- Tax will be applied and deducted in accordance with the PAYE tables unless an alternative rate is supplied by means of an original SARS tax directive.
- Unless otherwise agreed, the first annuity payment will be paid at the end of the following month if the fully completed application and funds are received after the 15th of the month.
- Annuity payments will be made on the 25th of every month. Should this fall over a weekend or public holiday we will pay out on the prior working day.
- Please note that monthly payments in advance and yearly payments in arrears are not allowed.

Investor Initial

## 8. Retirement Fund Transfer details

It is mandatory for the transferring fund to complete this section in the case where there is a transfer into a Preservation Fund, Retirement Annuity Fund or, Living Annuity. Please attach the relevant recognition of transfer, Section 14 or Section 37 documentation, and supply proof of the transfer of funds.

Funds transferring from  Pension  Provident  Retirement Annuity  
 Preservation Pension  Preservation Provident  Living Annuity

Registered Fund name \_\_\_\_\_

FSB Fund registration no \_\_\_\_\_ SARS Fund registration no \_\_\_\_\_

Transfer amount R \_\_\_\_\_ . \_\_\_\_\_

Earliest retirement age in terms of transferring fund rules \_\_\_\_\_

Transferring fund contact details:  
 Telephone number (\_\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_ Fax (\_\_\_\_\_) \_\_\_\_\_ - \_\_\_\_\_  
 Contact person or name of Principal Officer \_\_\_\_\_

Signed at (place) \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_

Signature of Fund Administrator \_\_\_\_\_

**Please note: The Equity Linked Living Annuity is a member-owned annuity. It may only be purchased where the rules of the transferring Retirement Fund specifically allow for such an annuity.**

I, the undersigned, duly authorised, declare on behalf of the fund that all the above information is correct and that the rules of the fund permit the purchase of the Equity Linked Living Annuity, Preservation Fund or Retirement Annuity.

Name \_\_\_\_\_ Signature \_\_\_\_\_  
 Capacity  Trustee  Fund Administrator

### Transferring Pension, Provident or Preservation Fund to complete

Name of participating employer \_\_\_\_\_

Date of entry into transferring Fund \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Date of pensionable service in terms of current fund rules \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Was any past or current service outside South Africa?  Yes  No

If "Yes", please supply dates, periods and country:

Date	Period	Country

Where the Transferring Fund is a Provident Fund, please provide details of investor contribution (portion or transfer value on which tax has already been paid) R \_\_\_\_\_ . \_\_\_\_\_

Are there any conditions placed on the benefits by the transferring fund or the rules of the transferring fund? If so, please state the event on which these conditions become applicable and what actions should be taken. Please attach a copy of the applicable portion of fund rules or agreement with member.

Signed at (place) \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_

Authorised signatory of Transferring Fund \_\_\_\_\_

## 9. Special requirements / Additional declarations (if applicable)

\_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Investor Initial \_\_\_\_\_

## 10. Financial Advisor (FSP details)

Title	<input type="text"/>	Initials	<input type="text"/>	Surname	<input type="text"/>
Brokerage name	<input type="text"/>				
FAIS FSP licence number	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Financial Advisor code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
			Brokerage code	<input type="text"/>	<input type="text"/>
Consultant	<input type="text"/>				

### Replacement disclosure:

Is this application to replace any existing assurance with PSG FutureWealth Limited or any other insurer?

Yes  No

If "Yes", please specify name of company

- For replacement policies, please ensure that the necessary disclosures have been made to the investor, and a replacement advice form is submitted to PSG FutureWealth Limited, together with this application form.

### Due diligence declaration:

- I hereby confirm that I am appropriately and timeously registered in terms of FAIS to act as the investor's Financial Advisor of record.
- I hereby confirm that I am an accountable institution as defined in the Financial Intelligence Centre Act, no. 38 of 2001 ("The Act"). I furthermore confirm that as an independent financial services provider, I am the secondary accountable institution in respect of the investors on whose behalf I establish business relationships or conclude single transactions with PSG FutureWealth Limited (the primary accountable institution).
- I hereby confirm that in terms of my internal rules and procedures I will comply with all requirements of Section 21 and 22 of The Act in respect of identifying and verifying investors and record holding.
- Even though I am the secondary accountable institution I will ensure that the following documentation is attached to every application form I submit.
- Where the investor is a Natural Person
  - identity document of investor \*
  - identity document of beneficiaries \*
  - an original cancelled cheque/bank statement \*
- Where the investor is a Company/Close Corporation
  - the certificate of incorporation/the founding statement \*
  - the identity documents of the directors/members \*
  - the relevant resolutions authorising certain individuals to act on the company's behalf
  - an original cancelled cheque/bank statement \*
- Where the investor is a Trust
  - the trust deed and letter of authority \*
  - the identity documents of the trustees/beneficiaries\*
  - the relevant resolutions authorising certain individuals to invest on behalf of the Trust
  - an original cancelled cheque/bank statement\*

I acknowledge that even though I agree to forward copies of the abovementioned documentation to PSG FutureWealth Limited, I am in no way absolved of my abovementioned duties to identify, verify and keep records as secondary accountable institution.

Signed at (place) \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Signature of Financial Advisor \_\_\_\_\_

\* documents to be certified by a Commissioner of Oaths.

Investor Initial

## 11. Declaration and Agreement by Investor and/or Premium Payer

### General conditions

These conditions are general and are aimed at covering all our products and portfolios. As such, some of these may be deleted or amended.

It is agreed and declared that:

- The foregoing answers and any additional statements made, whether by my hand or not, are true and complete and are to form the basis of the contract with PSG FutureWealth Limited.
- If any material information has been withheld, or not truly and fully stated, the policy may be declared null and void and all sums paid upon account thereof shall be forfeited.
- No statement, whether made by myself or any other person, shall be binding on PSG FutureWealth Limited unless provided in writing to PSG FutureWealth and made part of the policy.
- This application has been completed in full at date of signature.
- I have received the Statutory Notice (attached on last page of this application form) from my Financial Advisor / PSG FutureWealth Limited\* (\*delete if not applicable) and have read and understood it.
- I have made an informed decision to purchase the product indicated in this application and I am aware of the financial and tax consequences, if any, of such a purchase.
- The policy will not commence until the application has been accepted by PSG FutureWealth Limited and the first premium has been paid, received and cleared.
- Should this application be accepted by PSG FutureWealth Limited, such acceptance shall be conditional upon there having been no material alteration of the facts on which the decision of acceptance was based, and I further agree that the policy to be issued shall be subject to the terms and conditions habitually adopted by PSG FutureWealth Limited.
- PSG FutureWealth Limited is authorised by me to levy these fees in accordance with the information provided above, in line with PSG FutureWealth Limited's business practice, understanding that these processes may change from time to time. I understand that these fees may be adjusted from time to time to allow for changes in the regulatory or business environment that effects this policy.
- The underlying Investment Price includes all applicable Asset Management fees.
- I have the right to withdraw from the policy within 30 days of receiving the policy document from PSG FutureWealth Limited summarising the acquired product, unless the portfolio type or terms make it unlawful or inappropriate to cancel the transaction, as stated in the portfolio guideline documents. In such event, any premiums paid in respect of that policy shall be refunded but may be adjusted to take into account administration fees and actuarial fees incurred in the issuing of a new policy as determined by PSG FutureWealth Limited's actuaries. Any investment losses suffered after the premium has been received by the insurer are for my account.
- If I exercise my right to withdraw from the policy, in line with current life insurance legislation, then the cost of cover for risk (if applicable) or cost of investment (as determined by PSG FutureWealth's actuary) may be deducted from the initial amount invested.
- I will contact my Financial Advisor if I consider replacing my policy so that he/she can explain the consequences thereof to me.
- The benefits of the policy may be changed at the discretion of PSG FutureWealth Limited if there is any change to Long-term Insurance, the Company or Income Tax Act, practice, precedent or opinion, which materially affects the benefits of the policy.
- PSG FutureWealth Limited is authorised by me to accept and action any investment instructions given by my Financial Advisor whose details are given in the Financial Advisor section, subject to its terms and conditions which may change from time to time. I/We undertake to give written notice if I/we wish to revoke this authority. PSG FutureWealth Limited will not accept payment instructions from my Financial Advisor to third parties which are not signed by the investor, and will be subject to the approval of PSG FutureWealth Limited.
- I am fully aware that the selected investment portfolio does not offer guaranteed investment returns or maturity values.
- I am aware that the underwriter of this investment is PSG FutureWealth Limited. I am aware of other fees that will be levied against my investment and I am aware that I can request disclosure of these fees from PSG FutureWealth Limited at any time.
- I have received the PSG FutureWealth Limited's disclosures regarding the complaints procedure to be followed in the event of there being a contravention (perceived or otherwise) of the Policyholder Protection Rules.
- I hereby indemnify and hold PSG FutureWealth Limited harmless against all demands, actions and proceedings which may be made or instituted against PSG FutureWealth Limited, arising out of my election to use the facsimile system in dealing with PSG FutureWealth Limited. Furthermore, any facsimile transmission report will not suffice as proof of receipt of the application form or any other forms unless confirmed in writing by PSG FutureWealth (include fax indemnity).
- I have read and understood the portfolio guideline documentation and the declarations.
- Tax will be payable by PSG FutureWealth Limited on behalf of the investor.

### Equity Linked Living Annuity only

- I apply for an annuity underwritten by PSG FutureWealth Limited and I agree to be bound by the rules of the policy document (as amended from time to time) and legislation and regulations that apply from time to time. I acknowledge that PSG FutureWealth Limited will inform me of annual annuity instalment parameters which will be based on the balance of the investment account credit to the policy document. This will determine the value of future annuity instalments.

### Retirement Annuities only

- I hereby apply for membership of the PSG FutureWealth Retirement Annuity Fund underwritten by PSG FutureWealth Limited and I agree to be bound by the rules of the fund and conditions of the contract (as amended from time to time).

Investor Initial

## 11. Declaration and Agreement by Investor and/or Premium Payer (Continued)

### Retirement Funds only

- In the event that the contribution payable in terms of this application is represented by a lump sum transfer from another approved fund, I hereby authorise and instruct the transferring fund to pay such amount to PSG FutureWealth Limited with immediate effect.

### Preservation funds only

- I hereby apply for membership of the PSG FutureWealth Pension or Provident Preservation Fund administered by PSG FutureWealth Limited and underwritten by PSG FutureWealth Limited and agree to be bound by the rules of the appropriate fund (as amended from time to time).

## 12. Fees and Commission

I hereby select the fee scale applicable to this policy:

**Standard fee scale**

### Single premiums and top-ups

Premium bands	Initial PSG FutureWealth Administration Fee	Standard IFA Fee for Equity Linked Living Annuities	Standard IFA Fee for Endowment Policies	Standard IFA Fee for Presevation and Retirement Annuity Funds
Up to R499 999.99	1.14%	1.71%	3.42%	2.85%
R500 000 and over	0.57%	1.71%	3.42%	2.85%

### Recurring premiums

	PSG FutureWealth Administration Fee	IFA
All Premiums	2.28%	2.28%

The fees detailed in the table above are in compliance with the Regulations of the Long-Term Insurance Act regarding the limitation of remuneration to intermediaries for the rendering of services as intermediary as contemplated in this Act.

### Ongoing Management Fees

The standard Ongoing Management Fees are detailed in the table below. These fees are calculated on the value of my investment portfolios, deductible monthly, on the basis set out by PSG FutureWealth, from time to time.

	PSG FutureWealth Administration Fee	Annual Risk Management Fee
All portfolios	0.684%	0.57% (incl VAT)

**Negotiated Fee Scale:**

Please indicate the agreed fee scales (incl VAT):

Commission :    % Investor Signature \_\_\_\_\_

Ongoing risk management fee:    % Investor Signature \_\_\_\_\_

PSG FutureWealth is authorised by me to levy these fees in accordance with the information provided above, in line with PSG FutureWealth's business practice, understanding that these processes may change from time to time. I understand that these fees may be adjusted from time to time, to allow for changes in the regulatory or business environment that effects this policy.

The underlying Investment Price includes all applicable Asset Management Fees.

## 13. Signatures

I/We, the undersigned, confirm that I/we have read this declaration and understand its implications.

Signed at (place) \_\_\_\_\_ this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_

Signature of investor \_\_\_\_\_ Signature of parent or guardian (if applicable) \_\_\_\_\_

Official capacity \_\_\_\_\_ Signature of Financial Advisor \_\_\_\_\_

Investor Initial

## FAX AND E-MAIL INDEMNITY

I, the undersigned, authorise PSG FutureWealth Limited to accept instructions by facsimile or e-mail and hereby waive any claim that I may have against PSG FutureWealth Limited and indemnify PSG FutureWealth Limited against any loss incurred as a result of PSG FutureWealth Limited receiving and/or acting upon such communication.

PSG FutureWealth Limited will not be held responsible for any failure, malfunction or delay of any network or electronic or mechanical device or any other form of communication used in the submission, acceptance and processing of application form and/or transactions. PSG FutureWealth Limited will not be liable to make good or compensate any investor or third party for any damages (whether direct or consequential), losses, claims or expenses resulting therefrom. The investor and any third party indemnifies PSG FutureWealth Limited accordingly.

Investor: Name and Surname

 /  / 

Date

\_\_\_\_\_  
Signature

Financial Advisor: Name and Surname

 /  / 

Date

\_\_\_\_\_  
Signature

Investor Initial

## DISCLOSURES BY PSG FUTUREWEALTH LIMITED IN TERMS OF THE POLICYHOLDER PROTECTION RULES

Should you invest in a long-term insurance policy underwritten by PSG FutureWealth Limited, the following information will be significant for you.

1. Your policy is underwritten by PSG FutureWealth Limited , Registration No. 1999/010087/06, An Authorised Financial Services Provider; FSP no. 22557

**Physical address:** 1st Floor, 28 Fricker road, Illovo, 2196

**Postal address:** PO Box 41259, Craighall, 2024

2. Communication details of the service department of PSG FutureWealth:

Switchboard number: 0861 077 439

Help desk toll free number: 0800 117 180

3. If you have any compliance queries you may contact Nicole Roodt, the compliance officer of PSG FutureWealth Limited, at the abovementioned address or on 0861 077 439.
4. Any complaints in respect of the policy, product or service must be submitted by you in writing and addressed to Nicole Roodt. Upon receipt of the complaint we will issue a notice of receipt. An investigation team, appointed from time to time by the board of directors of PSG FutureWealth Limited, will investigate the complaint. Once the investigation is completed the investigation team will reach a decision and you will be notified in writing. Upon receipt of this notification you will have 21 days to challenge the decision. Should you wish to challenge the decision, you may lodge a complaint with the ombudsman.

# DOCUMENTATION CHECKLIST FOR FINANCIAL SERVICES PROVIDER

## Documentation checklist for verification in terms of FICA

In order to comply with the requirements of the Financial Intelligence Centre Act 38, 2001 ("FICA"), the independent financial services provider will require the documents listed below prior to transacting with any investor. This checklist is for the use of independent financial services providers only and must not be faxed back to PSG FutureWealth Limited.

**Please refer to the applicable section:**

Individual: South African and foreign	Section 1
Close Corporation	Sections 1 and 2
Unlisted Company	Sections 1 and 3
Listed Company	Section 4
Trusts	Section 1 and 5
Unincorporated Entities	Section 1 and 6

## Section 1: Individual Investor and any person funding the investment where relevant

<b>Identity of individual</b> <b>Tax number of individual (if applicable)</b>	<b>If South African:</b> <ul style="list-style-type: none"> <li>- certified copy of South African identity document* and</li> <li>- certified copy of most recent tax return (front page only)</li> </ul> <b>If ID not available</b> <ul style="list-style-type: none"> <li>- letter providing explanation (subject to approval by PSG FutureWealth) and</li> <li>- copy of South African driver's licence or copy of South African passport</li> </ul> <b>If Foreign:</b> <ul style="list-style-type: none"> <li>- certified copy of passport*</li> </ul>
<b>Physical address</b> (South African and foreign individual) (one of the following documents containing the physical address)	<ul style="list-style-type: none"> <li>- certified copy of utility bill (less than 3 months old) or</li> <li>- certified copy of most recent lease or rental agreement or</li> <li>- bank statement (less than 3 months old) or</li> <li>- certified copy of current payslip or</li> <li>- certified copy of most recent tax return (front page only)</li> </ul>
<b>Bank details</b> (South African and foreign)	<ul style="list-style-type: none"> <li>- an original cancelled cheque or certified copy of bank statement (less than 3 months old)</li> </ul>

## Section 2: Close Corporation (please also complete section 1)

<b>Identity of Close Corporation</b>	<ul style="list-style-type: none"> <li>- certified copy of registered CK1 and</li> <li>- certified copy of current CK2 (where relevant) and</li> <li>- certified copy of resolution passed by members authorising the investment and nominating a person to make the investment and</li> <li>- certified copy of most recent tax return (front page only)</li> </ul>
<b>Identity of members</b>	<ul style="list-style-type: none"> <li>- complete section 1 (FICA static detail form) for each member and include the supporting documentation as listed on that form</li> </ul>
<b>Physical address of Close Corporation</b> (one of the following documents containing the physical address)	<ul style="list-style-type: none"> <li>- certified copy of utility bill (less than 3 months old) or</li> <li>- certified copy of most recent lease or rental agreement or</li> <li>- bank statement (less than 3 months old) or</li> <li>- certified copy of company letterhead</li> </ul>
<b>Bank details of Close Corporation</b>	<ul style="list-style-type: none"> <li>- an original cancelled cheque or certified copy of bank statement (less than 3 months old)</li> </ul>

### Section 3: Unlisted Company (please also complete section 1)

<b>Identity of unlisted company</b> <b>Tax number of unlisted company</b> (if applicable)	<ul style="list-style-type: none"> <li>- certified copy of CM1 (certificate of incorporation) and</li> <li>- certified copy of CM22 (certificate of registered address) and</li> <li>- certified copy of CM29 (list of directors) and</li> <li>- certified copy of most recent tax return (front page only)</li> </ul>
<b>Identity of Principal Executive Officer</b> ("executive")	<ul style="list-style-type: none"> <li>- complete section 1 (FICA static detail form) for executive and include the supporting documentation as listed on that form</li> </ul>
<b>Identity of all persons holding 25% or more of the voting rights at general meeting of the company ("shareholders")</b>	<ul style="list-style-type: none"> <li>- complete section 1 (FICA static detail form) for each shareholder and include the supporting documentation as listed on that form</li> </ul>
<b>Physical address of unlisted company</b> (one of the following documents containing the physical address)	<ul style="list-style-type: none"> <li>- certified copy of utility bill (less than 3 months old) or</li> <li>- certified copy of most recent lease or rental agreement or</li> <li>- bank statement (less than 3 months old) or</li> <li>- certified copy of company letterhead</li> </ul>
<b>Bank details of unlisted company</b>	<ul style="list-style-type: none"> <li>- an original cancelled cheque or certified copy of bank statement (less than 3 months old)</li> </ul>

### Section 4: Listed Company

<b>Identity of listed company</b>	<ul style="list-style-type: none"> <li>- certified copy of board resolution authorising the investment and nominating a person to make the investment on behalf of the company</li> </ul>
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### Section 5: Trusts (please also complete section 1)

<b>Identity of Trust</b> <b>Tax number of Trust</b> (if applicable)	<ul style="list-style-type: none"> <li>- certified copy of trust deed or copy of other founding documentation by which trust is created <b>and</b></li> <li>- certified copy of letters of authority <b>and</b></li> <li>- certified copy of trust resolution nominating and identifying the minimum number of trustees or third parties required to act on behalf of the trust as stipulated in the trust deed or other founding document by which the trust is created <b>and</b></li> <li>- list of beneficiaries and</li> <li>- certified copy of most recent tax return (front page only)</li> </ul>
<b>Identity of the founder, trustee(s) and beneficiary(ies)</b>	<ul style="list-style-type: none"> <li>- complete section 1 (FICA static detail form) for the founder, each trustee and beneficiary and include the supporting documentation as listed on that form.</li> </ul>
<b>Bank details of Trust</b>	<ul style="list-style-type: none"> <li>- an original cancelled cheque or certified copy of bank statement (less than 3 months old)</li> </ul>

### Section 6: Unincorporated Entities (please also complete section 1)

<b>Identity of unincorporated entity</b> <b>Tax number of unincorporated entity</b> (if applicable)	<ul style="list-style-type: none"> <li>- document by which the entity is founded (subject to approval by PSG FutureWealth) and</li> <li>- certified copy of resolution nominating a person to make the investment on behalf of the unincorporated entity and</li> <li>- certified copy of most recent tax return (front page only)</li> </ul>
<b>Identity of the partner(s) or member(s)</b>	<ul style="list-style-type: none"> <li>- complete section 1 (FICA static detail form) for the founder, each trustee and beneficiary and include the supporting documentation as listed on that form.</li> </ul>
<b>Bank details of unincorporated entity</b>	<ul style="list-style-type: none"> <li>- an original cancelled cheque or certified copy of bank statement (less than 3 months old)</li> </ul>

\* documents to be certified by a Commissioner of Oaths.